

TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.

CHA920010021US1

MAR 28 2002

In Re Application Of: Moskowitz et al.

Serial No.
09/990,842Filing Date
11/21/2001

Examiner

Group Art Unit

Title: SECURE METHOD AND SYSTEM FOR DETERMINING CHARGES AND ASSURING PRIVACY

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:OIPF
MAR 28 2002

Transmitted herewith is:

Recordation Cover Sheet
 Assignment in 2 pages
 Acknowledgment Postcard

In the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0469 (IBM) as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$40.00
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Signature

Dated: December 11, 2001

Michael F. Hoffman - Reg. No. 40,019

Hoffman, Warnick & D'Alessandro LLC
 Three E-Comm Square
 Albany, New York 12207
 (518) 449-0044 Telephone
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I certify that this document and fee is being deposited on 12/11/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

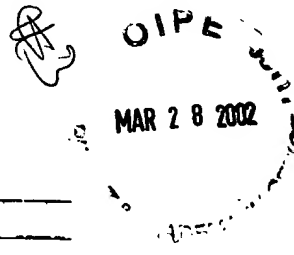


Signature of Person Mailing Correspondence

Martha Laffety

Typed or Printed Name of Person Mailing Correspondence

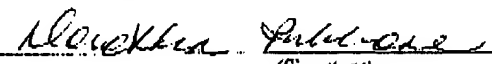
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UTILITY / ~~DESIGN~~ PATENT Date: DECEMBER 11, 2001
 Miscellaneous Date of O.A. (if any): _____
 Rec'd in the U.S. Patent & Trademark Office on the date stamped hereon via
 Certificate of Mail:
 Docket # 21992CC10031 US1 SINCE 1991, F42 Filed: 11-21-01
 Patent# _____ Issued: _____
 Examiner: _____ Art Unit: _____
 Batch: _____ Atty: ALSH
 Applicant: MOCKWITZ, et al.
 Title: SECURE METHOD AND SYSTEM FOR DETERMINING CHARGES
AND ASSURING PRIVACY
☒ Transmittal in duplicate of FILED MAIL ☐ Amendment in _____ pgs.
☐ _____ Mo. Ext. Time in duplicate ☐ Change of Address
☐ Request for Drawing Changes ☐ Small Entity Statement
☐ _____ pgs _____ Drawings ☒ Assignment in 2 pgs.
☐ \$ _____ Check for Amend. ☐ \$ _____ Check for Assign.
☐ Information Disclosure Statement with _____ Reference(s).
☒ RECORDATION COVER SHEET IN DUPLICATE
☐ _____



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CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8) Applicant(s): M sk witz et al.			Docket No. CHA920010021US1	
Serial No. 09/990,842	Filing Date 11/21/2001	Examiner	Group Art Unit 2183	
Invention: SECURE METHOD AND SYSTEM FOR DETERMINING CHARGES AND ASSURING PRIVACY				
<div style="text-align: right; margin-bottom: 20px;"> COPIES OF PAPERS ORIGINALLY FILED <u>02/08/02</u> </div> <div style="text-align: right; margin-bottom: 20px;"> OIPE MAR 28 2002 </div> <p>I hereby certify that this <u>Request for Substitute Papers and Corresponding Paperwork</u> <small>(Identify type of correspondence)</small></p> <p>is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>(703) 746-4060 (OIPE)</u>)</p> <p>on <u>March 28, 2002</u> <small>(Date)</small></p> <div style="text-align: center; margin-top: 40px;"> <u>Dorothea Rubbone</u> <small>(Typed or Printed Name of Person Signing Certificate)</small>  <small>(Signature)</small> </div> <p style="text-align: center; margin-top: 40px;"> Note: Each paper must have its own certificate of mailing. </p>				

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02/08/02

Received from <518 449 0047> at 3/28/02 10:36:56 AM [Eastern Standard Time]



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Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/990,842	11/21/2001	Moskowitz, Paul	CHA920010021US1

PE
MAR 28 2002

23550
HOFFMAN WARNICK & D'ALESSANDRO, LLC
3 E-COMM SQUARE
ALBANY NY 12207

Title: Secure method and system for determining charges and assuring privacy

Date Mailed: 03/22/2002

Request for Substitute Papers

The papers filed on 02/08/02 (certificate of mailing dated 12/11/01) are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated **703-746-4060(OIPE)**.

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

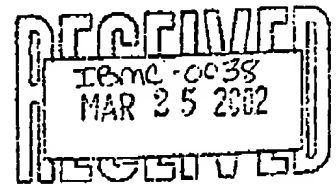
The USPTO strongly prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within two (2) weeks of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. A copy of this notice should be included with the reply.

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: Michael F. Hoffman Registration No.: 40,019

Signature: *Michael F. Hoffman* Date: March 28, 2002





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COMMISSIONER FOR PATENTS
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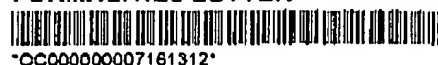
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/990,842	11/21/2001	Paul A. Moskowitz	CHA920010021US1

23550
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02/08/02

CONFIRMATION NO. 2704

FORMALITIES LETTER



0000000007181312

Date Mailed: 12/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE